

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*Carolle Cook*

Carolle Cook

Applicant : David Wachtel  
Application No. : 09/916,243  
Filed : July 25, 2001  
Title : METHOD AND APPARATUS FOR INTELLIGENT  
DATA ASSIMILATION  
Grp./Div. : 2171  
Examiner : To be assigned  
Docket No. : 42157/FLC/U367

LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
January 3, 2002

Commissioner:

In response to the Notice to File Missing Parts of Application dated **September 17, 2001**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. A Petition for Extension of Time.
3. Extension of Time fee of **\$400**.
4. Check for **\$1,830.00** for the filing fee (the original \$1,778.00 + \$52.00 for increased filing fees), a check for **\$130.00** for the surcharge for a large entity.
5. Executed Declaration and Power of Attorney.
6. 18 sheet(s) of substitute drawings in compliance with 37 C.F.R. § 1.84(g).
7. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

Application No. 09/916,243

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Frank L. Cire  
Reg. No. 42,419  
626/795-9900

FLC/clc

Enclosures

CLC PAS398245.1-\* -1/3/02 12:02 PM

See for



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,243	07/25/2001	David Wachtel	42157/FLC/U367

CONFIRMATION NO. 6834

 23363  
 CHRISTIE, PARKER & HALE, LLP  
 350 WEST COLORADO BOULEVARD  
 SUITE 500  
 PASADENA, CA 91105

## FORMALITIES LETTER



\*OC00000006564576\*

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/29/2002 SSALEKU 00000005 031728 09916243 FILED UNDER 37 CFR 1.53(b)

01 FC:102 04.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$1068.
 

<ul style="list-style-type: none"> <li>□ \$828 for 46 total claims over 20.</li> <li>□ \$240 for 3 independent claims over 3.</li> </ul>	01/22/2002 HBIZUNES 00000002 09916243 01 FC:116 400.00 DP 02 FC:101 740.00 DP 03 FC:103 828.00 DP 04 FC:102 168.00 DP 05 FC:105 130.00 DP
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- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 1908.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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02 FC:101	740.00 DP
03 FC:103	828.00 DP
04 FC:102	168.00 DP
05 FC:105	130.00 DP
05 FC:990	10.00 DP

 Rep'n: Ref: 01/22/2002 HBIZUNES 0016054800  
 Date: 03/17/28  
 Name/Number: 09916243  
 Fee: \$94.00 CR

***A copy of this notice MUST be returned with the reply.***



Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**